



## Gerunds in English

- ▶ Clay won the match.
- ▶ Verbal gerunds:
  - POSS-ing** Clay's/his winning the match
  - ACC-ing** Clay/him winning the match
- ▶ Nominal gerunds:
  - ing*<sub>of</sub> Clay's/his/the winning of the match
- ▶ Vendler's (1967) narrow containers:
  - #Clay('s) winning the match was fast/happened on Saturday.
  - Clay's winning of the match was fast/happened on Saturday.
- ▶ Nominal gerunds denote **events**, verbal gerunds denote **facts** (Vendler 1967), **propositional entities** (Portner 1992), **possibilities or facts** (Asher 1993), **fluents** (Hamm & van Lambalgen 2002), etc.

# Roadmap

- ▶ **Question:** Should POSS-*ing* and ACC-*ing* be assigned different interpretations? If so, in what ways are they different?
- ▶ **Introduction:** Difference between POSS-*ing* and ACC-*ing* in theories
- ▶ **New data:** *with(out)* + POSS-*ing* and ACC-*ing*
- ▶ **Analysis 1:** The interpretation of *without* + POSS-*ing*
- ▶ **Analysis 2:** Two hypotheses for the asymmetry in the distribution of verbal gerunds as complements of *with(out)*
- ▶ **Conclusion**

# POSS-*ing* and ACC-*ing* in theories

- ▶ Same interpretation:
  - ▶ Asher (1993): Possibilities or facts
  - ▶ Hamm & van Lambalgen (2002): Fluents
- ▶ Different interpretations:
  - ▶ Portner (1992): Propositional entities.  
POSS-*ing* is definite and ACC-*ing* is indefinite.
  - ▶ Grimm & McNally (2015): Event kinds.  
POSS-*ing* carries Possessive Existential Import.

## Portner (1992): Definiteness

- ▶ Verbal gerunds denote propositional entities (in Kratzer's Semantics of Situations). *POSS-ing* is definite and *ACC-ing* is indefinite.
- ▶ When used in a non-factive context, *POSS-ing* is still factive, while *ACC-ing* is non-factive:
  - (1) a. George imagined *Clay's winning the match*.  
→ Clay won the match, or *Clay's winning the match is under discussion*
  - b. George imagined *Clay winning the match*.  
→ Clay won the match.
- ▶ *POSS-ing*, being definite, carries a **familiarity presupposition**: in a factive environment, an actual situation described by it is familiar; in a non-factive environment, there is a possibly hypothetical entity under discussion.

## Grimm & McNally (2015): PEI

- ▶ POSS-*ing* and ACC-*ing* are both event kind descriptions. In analogy to kinds in the entity domain, event kinds are sortal concepts that can be instantiated by tokens.
- ▶ ACC-*ing* can remain kind-referring or entail a token when anchored to matrix tense:
  - (2) a. Clay winning the match is what I expect to see.  
→ Clay won the match.
  - b. Clay winning the match upset George.  
→ Clay won the match.
- ▶ POSS-*ing* contains a possessive relation and therefore carries Possessive Existential Import (PEI) (Peters & Westerståhl 2013): if the possessive relation exists, the possessee must exist.

## Grimm & McNally (2015): PEI

- ▶ However, POSS-*ing* does not always entail a token event:
  - (3) George prevented Clay's winning the match.  
 → Clay won the match.
- ▶ PEI does not require the existence of an event token, but makes sure that **the event kind exists**. An event kind exists when:
  - ▶ A token instantiation of it exists
  - ▶ It is familiar.

Müller-Reichau (2011): The interpretation of a definite kind-level NP presupposes the “existence” (establishedness) of the kind in the universe of discourse.

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# Data

- ▶ Collected from a dependency-parsed version of the BNC (2007):
  - (4) She had been very ill and suddenly taken to hospital **without Darren's knowing why**.
  - (5) It was signed by Andrew Stavanger **without his fully realising what he was doing**.
  - (6) The hours passed **without our receiving any news**.
- ▶ *Without* and its counterparts in other languages have various senses, and some of them have been formally discussed (Bosque, 1980; Feigenbaum, 2002; Müller, Roch, Stadfeld, & Kiss, 2012; Castroviejo, Oltra-Massuet, & Pérez-Jiménez, 2015), but *without + POSS-ing* has not been accounted for.
- ▶ Analysis 1: The semantics and pragmatics of *without + POSS-ing*

# Asymmetry

- ▶ Out of a total of 818 POSS-*ing* cases from the BNC, 39 are selected by *without*, but only 3 by *with* and none has a relevant reading.
- ▶ Replacing *without* with *with* leads to unacceptability:
  - (4') #She had been suddenly taken to hospital **with Darren's being fully informed**.
  - (5') #It was signed by Andrew Stavanger **with his totally ignoring the content**.
  - (6') #The hours passed **with our receiving no news**.
- ▶ At the same time, ACC-*ing* is compatible with both:
  - (7) She had been suddenly taken to hospital **with Darren being informed/without Darren knowing why**.

# Asymmetry

- ▶ Both POSS-*ing* and ACC-*ing* are compatible with *without*, but only ACC-*ing* is compatible with *with*:
- ▶ Clay won the match...
  - with* + POSS-*ing*      ??with George's supporting him.
  - with* + ACC-*ing*      with George supporting him.
  - without* + POSS-*ing*    without George's supporting him.
  - without* + ACC-*ing*    without George supporting him.
- ▶ Analysis 2: How the different interpretations of POSS-*ing* and ACC-*ing* explain the asymmetry

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# The basic interpretation

► VP modifier

- (8) To do so is to help the opponent to win **without his having to hit you with a single scoring technique.**  
≠ **Without his having to hit you with a single scoring technique,** to do so is to help the opponent to win.
- Distinguished from the free adjunct (Stump 1981): adjunct to the sentence, prosodically separate from the main clause, may interact with main clause tense, modal, quantifiers, etc.
- Basic interpretation: temporal overlap

## Interpretation of *without* + POSS-ing: Semantics

- ▶ Bosque's (1980) intuition: The hearer infers that the same activity is talked about.
- ▶ I follow Grimm & McNally (2015) in assuming that the main predicate begins on the kind level and is instantiated by tense.

(9) Clay won the match without George's supporting him.  
= Clay won the match, and during that time, George didn't support him.  
= It happened at one time that Clay won the match and George didn't support him.

(10)  $\llbracket(9)\rrbracket = \text{PAST}(\llbracket\text{Clay win the match without George's supporting him}\rrbracket)$   
=  $\text{PAST}(\llbracket\text{without}\rrbracket(\llbracket\text{George's supporting him}\rrbracket)(\llbracket\text{Clay win the match}\rrbracket))$

## Derivation

- ▶ *Without* connects two event kinds and produce a complex event kind such that (i) any instantiation of the complex event kind ( $e_{k3}$ ) entails that the event kind described by the modified predicate ( $e_{k1}$ ) is instantiated, and that at the same time, the event kind described by *POSS-ing* ( $e_{k2}$ ) is not instantiated (ii) the runtime of the complex event token is identical to that of the modified VP event token.

$$(11) \quad \llbracket \text{without} \rrbracket = \\ \lambda P_{k2} \lambda P_{k1} \lambda e_{k3} \exists e_{k1} \exists e_{k2} [P_{k1}(e_{k1}) \wedge P_{k2}(e_{k2}) \wedge \forall e_3 [\mathbf{R}(e_3, e_{k3}) \rightarrow \\ \exists e_1 [\mathbf{R}(e_1, e_{k1}) \wedge \neg \exists e_2 [\mathbf{R}(e_2, e_{k2}) \wedge \tau(e_1) \circ \tau(e_2)]] \wedge \tau(e_3) = \tau(e_1)]]]$$

- ▶ The resulting event kind can be embedded or instantiated
- ▶ Suggests that kind modification is a non-monotonic process, i.e. the resulting complex event type is a different kind with distinct implications.

## Derivation

- ▶  $\llbracket \text{Clay win the match} \rrbracket =$   
 $\lambda e_{k1} [\mathbf{win}(e_{k1}) \wedge \mathbf{Agent}(\mathbf{c}, e_{k1}) \wedge \mathbf{Theme}(\mathbf{m}, e_{k1})]$
- ▶  $\llbracket \text{George's supporting Clay} \rrbracket =$   
 $\lambda e_{k2} [\mathbf{support}(e_{k2}) \wedge \mathbf{Agent}(\mathbf{g}, e_{k2}) \wedge \mathbf{Theme}(\mathbf{c}, e_{k2})]$
- ▶  $\llbracket \text{Clay won the match without George's supporting him} \rrbracket =$   
 $\lambda t \exists e_3, e_{k3} [t < \mathbf{now} \wedge \exists e_{k1} \exists e_{k2} [\mathbf{win}(e_{k1}) \wedge \mathbf{Ag}(\mathbf{c}, e_{k1}) \wedge \mathbf{Th}(\mathbf{m}, e_{k1}) \wedge$   
 $\mathbf{support}(e_{k2}) \wedge \mathbf{Ag}(\mathbf{g}, e_{k2}) \wedge \mathbf{Th}(\mathbf{c}, e_{k2}) \wedge \forall e_3 [\mathbf{R}(e_3, e_{k3}) \rightarrow$   
 $\exists e_1 [\mathbf{R}(e_1, e_{k1}) \wedge \neg \exists e_2 [\mathbf{R}(e_2, e_{k2}) \wedge \tau(e_1) \circ \tau(e_2)] \wedge \tau(e_3) =$   
 $\tau(e_1)]]] \wedge \mathbf{R}(e_3, e_{k3}) \wedge \tau(e_3) = t]$   
 $= \lambda t \exists e_3, e_{k3} [t < \mathbf{now} \wedge \exists e_{k1} \exists e_{k2} [\mathbf{win}(e_{k1}) \wedge \mathbf{Ag}(\mathbf{c}, e_{k1}) \wedge$   
 $\mathbf{Th}(\mathbf{m}, e_{k1}) \wedge \mathbf{support}(e_{k2}) \wedge \mathbf{Ag}(\mathbf{g}, e_{k2}) \wedge \mathbf{Th}(\mathbf{c}, e_{k2}) \wedge$   
 $\exists e_1 [\mathbf{R}(e_1, e_{k1}) \wedge \neg \exists e_2 [\mathbf{R}(e_2, e_{k2}) \wedge \tau(e_1) \circ \tau(e_2)] \wedge \tau(e_3) =$   
 $\tau(e_1)]]] \wedge \mathbf{R}(e_3, e_{k3}) \wedge \tau(e_3) = t]$



## Interpretation of *without* + POSS-ing: Pragmatics

- ▶ Normally, when an event of the modified VP occurs, there should also be an event described by the POSS-ing. For example,

(9) Clay won the match without George's supporting him.

implies that normally, when Clay wins a match, George should have supported him.

(12) #Clay won the match without Thoth's blessing him.

- ▶ *Without* is similar to concessive connectors like *however*:

(4') She had been very ill and suddenly taken to hospital *without, however, Darren's knowing why*.

## Generic incausality

- ▶ Zieleke (2020) for German *dennoch* and *trotzdem*:  
Generic incausality: a regularity which generalizes over entities, predications and/or situations and accepts exceptions.
  - ▶  $p$  *dennoch*  $q$  asserts  $p \wedge q$  and produces the implicature that  $\text{GEN}(v)[P_p(v); \neg Q_q(v)]$  ( $P$  and  $Q$  are predicates and  $v$  an unrestricted variable).
- (13)  $\text{GEN}(x, y)[\text{player}(x) \wedge \text{teammate}(x, y) \wedge \text{win}(x); \text{support}(y, x)]$   
Generally, for a player to win, his teammate should support him.
- (14)  $\text{GEN}(x, y)[x=\text{Clay} \wedge y=\text{George} \wedge \text{play}(x); \text{support}(y, x)]$   
Normally when Clay plays, George supports him.

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# Asymmetry

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  - with* + POSS-*ing*      ??with George's supporting him.
  - with* + ACC-*ing*      with George supporting him.
  - without* + POSS-*ing*    without George's supporting him.
  - without* + ACC-*ing*    without George supporting him.
- ▶ **Hypotheses:** The asymmetry comes from...
  1. Redundancy
  2. Different temporal anchoring abilities of POSS-*ing* and ACC-*ing*

## *With/ Without* asymmetry in the nominal domain

- (15) a. #lion with a tail  
b. lion without a tail  
c. lion with a mane  
d. lion without a mane  
e. ?lion with a crossbow  
f. #lion without a crossbow
- Being a lion entails having a tail and not using a crossbow, so (15a) and (15f) are infelicitous unless in specific discourse conditions.

## Information redundancy

- ▶ Portner (1992): *POSS-ing* is definite, *ACC-ing* is indefinite
- ▶ Assume that the basic interpretation of *with* is also that of temporal overlap.
- ▶ Since *ACC-ing* is indefinite, it introduces a new discourse referent, and it temporally overlapping the matrix event is always informative.
- ▶ For *POSS-ing*, which is familiar in the discourse, its relation with the matrix clause event kind is likely known in the context. In this case, *without* is informative because it introduces an exception, but *with* is not.
- ▶ In a context where we know George always supports Clay:  
#Clay won with George's supporting him. → not informative

## Information redundancy

If, in the same context, *with* + POSS-*ing* is rejected because of redundancy, *with* + ACC-*ing* should also be redundant. Also note that *with* + POSS-*ing* can sound bad without a context.

- ▶ What is involved here is perhaps not redundancy

## Temporal anchoring

- ▶ Since POSS-*ing* is familiar, it is either anaphoric to an event token/kind in the discourse or is under discussion, and has its own temporal index.
- ▶ When used as complement of *without*, it is not committed to having a token that occurs at a certain time, so there is no conflict.
- ▶ When used as complement of *with* and POSS-*ing* is anaphoric to a token event, there is a conflict because it cannot be temporally anchored again. When it refers to a kind under discussion, it should not be temporally anchored.
- ▶ Assume *with* also forms a complex event kind. It is unnatural to identify a part (POSS-*ing*) before identifying the whole (complex kind). → Further research



## Temporal anchoring

- ▶ ACC-*ing* can easily be anchored to the main clause tense because it is always newly introduced and, since it is not the main predicate, does not carry its own temporal index. A piece of evidence comes from their compatibility with temporal prepositions. Though Vendler (1967) claims that both POSS-*ing* and ACC-*ing* do not serve as complement of temporal prepositions:

- (16) This concept met resistance in Tehran, particularly as Iraq underlined its position with another offensive just **after Iran's accepting the principle of a cease-fire**. (BNC)

while POSS-*ing* is marginal, ACC-*ing* is impossible.

## Other potential uses of *with* + POSS-ing

- ▶ This analysis predicts that *with* + POSS-ing is fine if POSS-ing is not temporally anchored to the modified VP. For example, if Clay's victory is marked by (therefore, temporally dependent on) his killing of the dragon:

(17) Clay won the match with his skillfully killing the dragon.

- ▶ This analysis also does not eliminate other senses of *with* (e.g. “on the basis of”) that are potentially compatible with POSS-ing, therefore, it does not support a syntactic restriction on *with* + POSS-ing.

## Conclusion

- ▶ New data from the BNC: *without* + POSS-ing, a use that had not been discussed in the literature. I proposed a denotation for *without* which connects two event kinds and produces a complex event kind, and applied the approach by Zieleke (2020) to account for the implicature.
- ▶ An asymmetry: ACC-ing can be selected by *with* or *without*, but POSS-ing is only compatible with *without*. Possible explanations: (1) information redundancy; (2) different temporal anchoring abilities of POSS-ing and ACC-ing, both following the proposal of Portner (1992) that POSS-ing is definite and ACC-ing indefinite.
- ▶ The data support assigning different interpretation to POSS-ing and ACC-ing. The claimed difference in their discourse function needs to be tested empirically.

## Selected references

- ▶ Grimm, S., & McNally, L. (2015). The *-ing* dynasty: Rebuilding the semantics of nominalizations. In S. D'Antonio, M. Moroney, & C. R. Little (Eds.), *Proceedings of the 25th Semantics and Linguistic Theory conference (SALT)* (Vol. 25, pp. 82-102). Ithaca, NY: LSA and CLC Publications.
- ▶ Portner, P. (1992). *Situation Theory and the Semantics of Propositional Expressions* (Unpublished doctoral dissertation). University of Massachusetts at Amherst, Amherst, MA.
- ▶ Zieleke, R. (2020, Sept 3-9). *The incausality of dennoch and trotzdem* [Conference presentation]. Sinn und Bedeutung 25, London.
- ▶ Please refer to the handout in the OSF repository or contact me for the complete list of references: [zi.huang@upf.edu](mailto:zi.huang@upf.edu)

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